

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: LUXMAN TRADING CONCEPTS,)
ITS OFFICERS, DIRECTORS,) File No. 1000287
EMPLOYEES, AFFILIATES, SUCCESSORS,)
AGENTS AND ASSIGNS,)

ORDER OF PROHIBITION

TO THE RESPONDENT: Luxman Trading Concepts
4712 Admiralty Way, Suite 185
Marina Del Ray, California 90292

WHEREAS, the record of the above captioned matter has been reviewed by the Secretary of State or his duly authorized representative;

WHEREAS, the rulings of the Hearing Officer on the admission of evidence and all motions are deemed to be proper and are hereby concurred with by the Secretary of State;

WHEREAS, the proposed Findings of Facts and Conclusions of Law and Recommendation of the Hearing officer, Jon K. Ellis, in the above-captioned matter have been read and examined;

WHEREAS, the following proposed Findings of Fact are correct and are adopted by the Secretary of State as follows:

1. The Exhibits have been offered and received from the Department and a proper record of all proceedings has been made and preserved as required by law.
2. The Hearing Officer has ruled on all motions and objections timely made and submitted.
3. The Hearing Officer and the Secretary of State Securities Department have jurisdiction over the parties herein and subject matter dealt with herein, due and proper notice having been previously given as required by statute in this Matter.
4. As no Answer was timely filed, the Respondent is therefore deemed to be in default.
5. The Respondent is a purported business entity with a last known address of 4712 Admiralty Way, Suite 185, Marina Del Ray, California 90292.

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6. On or about July 13, 2009, the Respondent offered and sold to KS, an Illinois resident, a subscriber license agreement for use of the Iridium S & P E-Mini Trading Program to be used in connection with the trading of electronic futures contracts, for a total amount of \$6,000.00.
7. On or about August 25, 2010, pursuant to Section 11.C of the Act, the Department sent an Inquiry Letter to the Respondent requiring that a written response be forwarded to the Department within 10 days of receipt.
8. The records of the Department indicate the Respondent received the aforesaid Inquiry Letter on September 3, 2010.
9. The Department did not receive a response to the aforesaid Inquiry Letter within the aforesaid required time period and as of August 17, 2011, no such response had been received by the Department.
10. On or about February 9, 2011, the Department sent a follow up letter and a copy of the aforesaid Inquiry Letter to the Respondent, advising the Respondent that the Department had not received a timely response to the aforesaid Inquiry Letter and required said response within 5 days of receipt.
11. The records of the Department indicate the Respondent received the aforesaid follow up letter and copy of the original Inquiry Letter on February 17, 2011.
12. The Department did not receive a reply to the aforesaid follow up letter or Inquiry Letter within the required time period nor has the Department received a response of any kind as of August 17, 2011.
13. On or about March 22, 2011, the Department attempted to contact the Respondent by phone in this regard and the Department's representative left a voice mail message identifying himself and the Department and requesting the Respondent to return the phone call to discuss the aforesaid inquiry letters.
14. As of August 17, 2011, the Department has not received any response or return phone call from the Respondent regarding the Department's aforesaid voice mail message.

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15. Section 11.C of the Act provides, inter alia, that whenever it shall appear to the Secretary of State, either by complaint or otherwise, that the Act, or any rule or regulation prescribed under authority thereof, has been or is about to be violated, he or she may, in his or her discretion, require the person to file with the Secretary of State a statement in writing as to all the facts and circumstances concerning the subject matter which the Secretary of State believes to be in the public interest to investigate and conduct an investigation as necessary or advisable for the protection of the interests of the public.
16. Section 12.D of the Act provides, inter alia, that it shall be a violation of the Act for any person to fail to file with the Secretary of State any document or application required to be filed under the provisions of the Act.
17. At all times relevant hereto, the Respondent failed to file a response to the aforesaid Inquiry Letter with the Department pursuant to the Department's lawful investigation of this matter and as required by the Act.
18. By virtue of the foregoing, the respondent has violated Section 12.D of the Act.
19. Section 11.E(2) of the Act provides, inter alia, that if the Secretary of State shall find that any person has violated subsection D of Section 12 of the Act, the Secretary of State may by written order prohibit the person from offering or selling any securities in this State.
20. Section 11.E(4) of the Act provides, inter alia, that if the Secretary of State, after finding that any provision of the Act has been violated, may impose a fine as provided by rule, regulation or order not to exceed \$10,000.00 for each violation of the Act, as well as an order of public censure and costs of investigation and reasonable expenses.
21. By virtue of the foregoing, the Respondent has violated Section 12.D of the Act and is subject to a fine of up to \$10,000.00 per violation, costs of investigation, reasonable expenses, an order of censure, and an order

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which permanently prohibits the Respondent from offering or selling securities in the State of Illinois.

22. The entry of a final written order of permanent prohibition is proper in this Matter given the conduct of the Respondent as described in Secretary of State Exhibit Nos. 1-8.


WHEREAS, the following proposed Conclusions of Law are correct and are adopted by the Secretary of State as follows:

1. The actions, representations, and/or omissions of the Respondent made in connection with the failure to file required documents with and respond to the Secretary of State as required by the Act are violations of Section 12.D of the Act.
2. Because of the Findings of this Order and Exhibits admitted as Secretary of State Exhibit Nos. 1-8, the Respondent is subject to the entry of a final written Order that permanently prohibits the Respondent pursuant to Section 11.E(2) of the Act from offering or selling securities in the State of Illinois, and grants such other relief as may be authorized under the Act.

NOW THEREFORE, IT IS HEREBY ORDERED THAT:

1. The Respondent Luxman Trading Concepts, its Offers, Directors, Employees, Affiliates, Successors, Agents and Assigns, is permanently prohibited from offering or selling securities in the State of Illinois.

ENTERED: This 29th day of August, 2011


Jesse White
Secretary of State
State of Illinois

NOTICE: Failure to comply with the terms of this Order shall be a violation of the Section 12.D of the Act. Any person or entity who fails to comply with the terms of this Order of the Secretary

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of State, having knowledge of the existence of the Order, shall be guilty of a Class 4 felony.

This is a final order subject to administrative review pursuant to the Administrative Review Law, [735 ILCS 5/3-101 et seq.] and the Rules and Regulations of the Illinois Securities Act, [14 Ill. Admin. Code Ch. I, Section 130.1123]. Any action for Judicial Review must be commenced within thirty-five (35) days from the date a copy of this Order is served upon the party seeking review.

Attorney for the Secretary of State:
Johan Schripsema
Illinois Securities Department
350 Seright
Suite C
Harrisburg, Illinois 62946